

4-14-04

PTO/SB/21 (08-00)

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/763,925
Filing Date	January 23, 2004
First Named Inventor	Timothy M. Green
Group Art Unit	Unknown
Examiner Name	Unknown
Attorney Docket Number	8572-000012US

Total Number of Pages in This Submission

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ____	<input type="checkbox"/> After Allowance Communication to Technology center (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <p>Form 1449 15 References Return Postcard</p>
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Remarks

Express Mail No. EV 404053472US

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Anthony G. Fussner, 47,582 Harness, Dickey & Pierce, P.L.C.
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Signature

Date

April 13, 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1560 on the date shown below:

Typed or printed name	Anthony G. Fussner, 47,582
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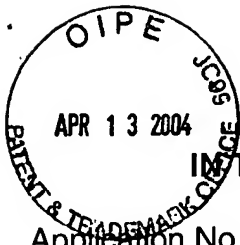
Signature

Date

April 13, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

EV 404053472US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/763,925
Filing Date: January 23, 2004
Applicant: Timothy M. Green
Group Art Unit: Unknown
Examiner: Unknown
Title: GOLF PUTTER HEAD
Attorney Docket: 8572-000012US

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

EV. 404053472 US

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

II. COPIES

A. _____ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cross-referenced pending U.S. application listed below in Section IV, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. _____ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

U.S. Filing Date

C. ☒ Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.

D. ☐ This is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. If the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))

III. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

A. ☒ Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).

B. ☒ A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):

1. ☒ See the attached foreign patent office communication from a counterpart foreign application.
2. ☐ English translations are provided.
3. ☐ Other:

C. ☐ The following additional information is provided for the Examiner's consideration.

IV. CROSS REFERENCE TO RELATED APPLICATION(S)

A. ☐ The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.

Serial No.
29/191,194

Filing Date
October 2, 2003

Art Unit
2913

V. THIS IDS IS BEING FILED UNDER

A. ☐ **37 C.F.R. § 1.97(b):** (check only one box)

1. ____ within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. § 1.97(b)(1)). No fee or certification is required.

2. ____ within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b)(2)). No fee or certification is required.

3. X before the mailing of a first Office Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below; or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).

4. ____ before the mailing of a first Office Action after the filing of a request for continued examination under 37 C.F.R. § 1.114. No fee or certification is required.

B. ____ **37 C.F.R. § 1.97(c):** (check only one box)

before the mailing date of either any Final Office Action under 37 C.F.R. § 1.113, a Notice of Allowance under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution.

1. ____ No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

2. ____ See the certification below. No fee is required.

C. ____ **37 C.F.R. § 1.97(d):**

after the mailing date of either a Final Office Action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, yet on or before payment of the issue fee.

1. ____ See the certification below. A fee in the amount of \$180.00 is required by 37 C.F.R. § 1.17(p).

VI. **CERTIFICATION UNDER 37 C.F.R. § 1.97(e):** (check only one box)

The undersigned hereby certifies that:

A. ____ each item of information contained in this IDS was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(1)). See further statement under 37 C.F.R. § 1.704(d) below in section VII, if applicable; or

B. ____ no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).

C. ____ Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

____ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

A. ____ A check in the amount of \$180.00 is enclosed for the above-identified fee.

B. ____ Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

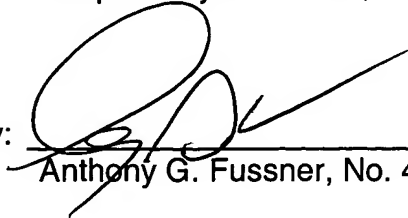
The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

Respectfully submitted,

Dated: 4/13/04

By: 
Anthony G. Fussner, No. 47,582

HARNESS, DICKEY & PIERCE, P.L.C.
7700 Bonhomme, Suite 400
St. Louis, Missouri 63105
(314) 726-7500

AGF/dmkd



FORM PTO-1449 (Based on Form PTO-1449)

PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION
(Use several sheets if necessary)

Sheet 1 of 5

ATTORNEY DOCKET NO.	SERIAL NO.
8572-000012US	10/763,925
APPLICANT	
Timothy M. Green	
FILING DATE	GROUP
January 23, 2004	Unknown

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		1,975,341	10/02/1934	Young		
2.		2,620,186	12/02/1952	Beeaff, Jr.		
3.		2,822,614	02/11/1958	Susinno		
4.		2,929,631	03/22/1960	Gillon		
5.		3,043,596	07/10/1962	Ehmke		
6.		3,134,596	05/26/1964	Boznos		
7.		3,468,545	09/23/1969	Anderson		
8.		3,507,500	04/21/1970	Scott		
9.		D233,535	11/05/1974	Karban		
10.		3,829,102	08/13/1974	Harrison		
11.		3,873,094	03/25/1975	Sebo et al.		
12.		3,921,984	11/25/1975	Winter		
12.1.		D239,637	04/20/1976	Loggins		
13.		4,077,633	03/07/1978	Studen		
14.		4,121,832	10/24/1978	Ebbing		
15.		4,156,526	05/29/1979	Huggins et al.		
15.1		D257,050	09/23/1980	Cicero		
15.2		D257,868	01/13/1981	Shelton		
16.		4,367,877	01/11/1983	Gibson et al.		
17.		4,422,638	12/27/1983	Tucker		
18.		4,601,472	07/22/1986	O'Flanagan		

EV 404053472 US

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 2 of 5	ATTORNEY DOCKET NO.	SERIAL NO.
	8572-000012US	10/763,925
	APPLICANT	
	Timothy M. Green	
	FILING DATE	GROUP
	January 23, 2004	Unknown

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
19.		4,688,798	08/25/1987	Pelz		
20.		4,844,468	07/04/1989	Lee		
21.		4,919,424	04/24/1990	Fukumochi et al.		
22.		4,962,932	10/16/1990	Anderson		
23.		5,052,690	01/01/1991	Sharp		
23.1		5,014,992	05/14/1991	McCallister		
24.		5,195,749	03/23/1993	Ugarte		
25.		5,228,695	07/20/1993	Meyer		
26.		5,332,214	07/26/1994	Tucker, Sr.		
27.		5,351,962	10/04/1994	Lin		
28.		5,417,429	05/23/1995	Strand		
29.		5,433,444	07/18/1995	Chiuminatta et al.		
30.		5,433,446	07/18/1995	Lindstedt, Jr.		
30.1		5,533,728	07/09/1996	Pehoski et al		
30.2		D378,688	04/01/1997	Cameron		
31.		5,620,379	04/15/1997	Borys		
31.1		D379,645	06/03/1997	Cameron		
32.		5,640,777	06/24/1997	Densberger et al.		
32.1		5,676,603	10/14/1997	Miller		
33.		5,683,307	11/04/1997	Rife		
34.		5,709,612	01/20/1998	Salado		

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449)

**PATENT AND TRADEMARK OFFICE
INFORMATION DISCLOSURE CITATION**
(Use several sheets if necessary)

Sheet 3 of 5

ATTORNEY DOCKET NO.

8572-000012US

SERIAL No.

10/763,925

APPLICANT

Timothy M. Green

FILING DATE

January 23, 2004

GROUP

Unknown

U.S. PATENT DOCUMENTS

Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
35.		5,759,114	06/02/1998	Bluto et al.		
36.		5,776,016	07/07/1998	Benoit et al.		
37.		5,800,279	09/01/1998	Densberger et al.		
37.1		D405,137	02/02/1999	Nelson		
38.		5,896,230	04/20/1999	Goggins		
39.		6,348,014	02/19/2002	Chiu		
40.		D483,426	12/09/2003	Green		
41.		D483,825	12/16/2003	Green		
42.		6,679,781	01/20/2004	Green		
43.		Pending U.S. Application 10/314,929 entitled REFLECTIVE PERIMETER-WEIGHTED GOLF PUTTER		Green		12/09/2002
44.		Presently Allowed U.S. Application 29/191,194 entitled GOLF PUTTER HEAD		Green		10/02/2003
45.		Pending U.S. Continuation Application 10/737,177 entitled Golf Club Head		Green		12/15/2003

Examiner:

Date Considered:

EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 4 of 5	ATTORNEY DOCKET NO.	SERIAL NO.
	8572-000012US	10/763,925
	APPLICANT	
	Timothy M. Green	
	FILING DATE	GROUP
	January 23, 2004	Unknown

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes	No
46.		FR 2,584,299	07/02/1985	FR			X
47.		EP 0400276	05/12/1990	EPO			X
48.		WO 96/41659	12/27/1996	PCT			
49.		WO 00/64543	11/2/2000	PCT			
50.		PCT International Preliminary Examination Report for International Application PCT/US00/11154	07/12/2001				
51.		Written Opinion for International Application PCT/US00/11154	03/05/2001				
52.		International Search Report for International Application PCT/US00/11154	08/04/2000				
53.		Patent abstracts of Japan, Vol. 001, No. 068 (M-024), 4 July 1977, JP 52 015733 (abstract)	02/05/1977				X

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM HDP-1449 (Based on Form PTO-1449) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION (Use several sheets if necessary) Sheet 5 of 5	ATTORNEY DOCKET NO.	SERIAL NO.
	8572-000012US	10/763,925
	APPLICANT	
	Timothy M. Green	
	FILING DATE	GROUP
	January 23, 2004	Unknown

FOREIGN PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translation Yes No

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)		
Ref. Desig.	Examiner's Initials	
54.		Edwin Watts Golf Catalog, pgs. 6, 9, 11, 18, 22, 23, 25, 50
55.		1 page entitled Frank Talk, by Frank Thomas, GolfDigest.com, June 2003, page 242
56.		1 page entitled Four of a Kind, Golf Magazine, June 2003, page 286
57.		2 pages entitled Equipment: Egg Beaters, www.golfdigest.com/equipment/index.ssf?;equipment/gd200307newequip.html , July 2003

Examiner:	Date Considered:
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EXAMINER: Please initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.